

PRIVACY POLICY OF STIFTUNG EXPERIMENTELLE BIOMEDIZIN

1. Overview and scope

The protection of your personal data that Stiftung Experimentelle Biomedizin (hereinafter "**we**" or "**us**") collects and processes as part of the foundation's activities, is an important concern for us.

This privacy policy provides information on how and for what purposes we process your personal data (hereinafter "**you**") that you disclose to us or that we collect from you. This privacy policy is not exhaustive; in particular, our contractual terms may include supplementary information on the processing of your personal data. As "**personal data**" are considered all data and information relating to an identified or identifiable natural person.

2. Responsible person and contact point for data protection issues

The name and address of the person responsible for processing are as follows:

Stiftung Experimentelle Biomedizin
c/o Bratschi Ltd.
Bahnhofstrasse 70
P.O. Box
8021 Zurich
Switzerland

Phone: +41 58 258 10 00

E-Mail: info@experimentelle-biomedizin.ch

Please contact the addresses above if you have any questions about data protection.

3. Data origin and data categories

In principle, we only process the personal data that we receive or collect from applicants for the Peter Hans Hofschneider Professorship or the Peter Hans Hofschneider Investigation Award, from jury members, interested parties, event participants and website visitors as part of our foundation activities. As far as this is permitted, we also obtain certain data from publicly accessible sources (e.g. commercial register, press, internet) or receive such data from other companies, authorities or other third parties. If you provide us with personal data of other persons, we ask you to ensure that these persons are aware of this privacy policy. Please only provide us with their personal data if you have been authorised to do so and if the respective personal data is correct.

The personal data or categories of personal data processed by us include, depending on the case, in particular **personal details and contact details** (e.g. name, address, place of origin/citizenship, gender, date of birth, telephone number and e-mail address); **identification and background information** (e.g. OASI number, signature samples, language); **contract data** that we receive or collect in connection with the initiation, conclusion and processing of contracts with you; **transaction data** (e.g. payment transaction data, details of payment orders); **information on education and employment** (e.g. degrees, awards, publications, references, job title, titles, employment relationship); **communication data** (e.g. content of e-mails, written correspondence, comments on websites, telephone conversations, video conferences, proof of identity, marginal data); **documentation data** or data from your **contacts** with third parties (e.g. consultation or meeting minutes, file notes); **public data** that can be obtained

about you (e.g. commercial register data, data from the media and press); **data in connection with proceedings or investigations** by authorities, official bodies, courts, organizations or other instances; **data for compliance with legal requirements; image and sound recordings and technical data** (e.g. IP address and other device IDs or identification numbers).

4. Processing purposes and legal basis

4.1 General in the context of our business activities

We process your personal data primarily for those processing purposes that are required in connection with our foundation activities and the provision of our services. In particular, we may process your personal data for the following purposes:

- to **communicate** with you, in particular to provide you with information or process your requests, to authenticate and identify you;
- for the **contract handling**, in particular in connection with the initiation, conclusion and processing of contractual relationships. This includes all data processing that is required or expedient to conclude, execute and, if necessary, enforce a contract, such as processing to decide whether and how (e.g. with which payment options) we enter into a contract with you, in order to provide contractually agreed services, to invoice our services and generally for accounting, processing applications (e.g. managing and evaluating applications, conducting interviews including creating personality profiles, obtaining reference information), to enforce legal claims arising from contracts;
- to **provide** you with our services and our digital offerings (e.g. website) and to **evaluate** and **improve** them;
- in order to be able to **organize events** to which we invite you;
- to inform you about **new developments** or to provide you with other information about our services;
- in connection with **accounting**, data **archiving** and the management of our archives;
- when **selling receivables**, e.g. if we provide the purchaser with information about the reason for and amount of the receivable and, if necessary, the creditworthiness and conduct of the debtor;
- for **security measures**, in particular for IT and building security (e.g. access controls, visitor lists, prevention, defence and clarification of cyber and malware attacks, network and mail scanners, video surveillance, telephone recordings), as well as for the prevention and investigation of criminal offences and other misconduct or to carry out internal investigations, protection against misuse, evidence purposes, data analysis to combat fraud, evaluation of system-side recordings of the use of our systems (log data);
- in connection with **restructuring** or other **acts under foundation or corporate law** (e.g. due diligence);
- for the **assertion of legal claims** and **defence** in connection with legal disputes and official proceedings in Switzerland and abroad, including the clarification of litigation prospects and other legal, economic and other issues;
- to comply with our **legal, regulatory** (including self-regulatory) and **internal requirements and rules** in Switzerland and abroad, including compliance with orders from a court or authority; or
- other purposes: we may process your personal data for other purposes that are necessary to protect our **legitimate interests**.

We process your personal data for the purposes specified above, depending on the situation, in particular based on the following legal principles:

- the processing of personal data is necessary for the performance of a contract with you or for pre-contractual measures;
- you have given your consent to the processing of the personal data relating to you;
- the processing of personal data is necessary for compliance with a legal obligation;
- the processing is necessary in order to protect the vital interests of the data subject or of another natural person; or
- we have a legitimate interest in the processing of personal data, whereby our legitimate interests may include the following interests in particular: interest in: maintaining contact and communicating with applicants (including outside of contractual relationships); in advertising and marketing activities; improving services and developing new ones; combating fraud; protecting employees and other persons as well as our data, business secrets and assets; ensuring appropriate security (both physical and digital); ensuring and organizing the foundation's activities, including the operation and further development of websites and other systems; the management and development of the foundation; the sale or purchase of companies, parts of companies and other assets; the enforcement or defence of legal claims; compliance with Swiss and foreign law and other rules applicable to us.

4.2 When visiting our website

Each time a user accesses our website, our server collects a set of user information which is stored in the server log files. The information collected includes, *inter alia*, the IP address, the date and time of access, the time zone difference to the GMT time zone, the name and URL of the file accessed, the website from which access is made, the browser and the operating system used. The collection of this information or data is technically necessary in order to display our website to you and to guarantee its stability and security. This information is also collected in order to improve the website and analyse its use.

The legal basis for the temporary storage of the information and the log files is our legitimate interest in being able to offer you our website in sufficient quality and to continuously improve it.

4.3 E-mail, telephone calls and video conferences

You can contact us via the e-mail address and telephone number provided. The personal data you send us will be stored by us and processed for the purpose of your request. The legal basis for this personal data processing is your consent and our legitimate interest in processing your request.

If you contact us by e-mail, you authorize us to reply to you via the same channel. Please note that unencrypted e-mails are transmitted via the open internet which is why it cannot be ruled out that they can be viewed, accessed and manipulated by third parties. We exclude – to the extent permitted by law – any liability that you may incur, in particular as a result of incorrect transmission, falsification of content or disruption to the network (interruptions, overloading, illegal interventions, blocking).

Telephone and video conference calls with us may be recorded in individual cases; we will inform you of this at the beginning of each call. If you do not want us to record such conversations, you can terminate the conversation at any time and contact us by other means (e.g. by e-mail or post).

4.4 Laureates

On our website, we list the laureates of the Peter Hans Hofschneider Professorship and the Peter Hans Hofschneider Investigation Award by name. Laureates are listed by name with a photo provided to us according to the year of the award. In the case of laureates of the Peter Hans Hofschneider Professorship, the publication lists provided to us and a summary of the funded research project will also be published; in the case of laureates of the Peter Hans Hofschneider Investigation Award, the projects realized with the help of the research grant and possibly other publications by the laureates will be published. We may also publish additional information on the respective award. The legal basis for this is your consent and our legitimate interests.

4.5 Applications via contact form

You can submit your application for an award using the contact forms provided on our website. The mandatory information for using the contact forms is your first and last name including title, your research institution if applicable, your e-mail address and a message. In addition, the documents specified in the contact form must be submitted as a single application dossier in PDF. The information and personal data you send us will be stored by us and processed for the purpose of reviewing your application. The legal basis for this personal data processing is your consent, the fulfilment of the contract with you and our legitimate interest in processing your request.

4.6 Google Maps

We may use Google Maps on our website. This is a service provided by Google Ireland Limited, Ireland, (hereinafter "**Google**") which you can use to call up street maps and aerial and satellite images. At the same time, you can use it to plan routes and, by sharing your location, determine your own position and show it to third parties.

Google records every activity that you carry out via Google Maps. This may include specific locations, calculated routes, shops and institutions that you view via Google Maps. Google collects and stores this data. The legal basis for the processing of your data is your consent. You can find more information on data processing by Google in Google's privacy policy: <https://policies.google.com/privacy?hl=en>.

5. Disclosure of personal data to recipients and abroad

5.1 Disclosure of personal data to recipients

In addition to the transfers of data to recipients expressly mentioned in this privacy policy, we may – to the extent permitted – disclose personal data to the following categories of recipients:

- Providers to whom we have outsourced certain services (e.g. IT and hosting providers, external accounting, debt collection service providers, photographers, banks, etc.) as well as other suppliers and subcontractors;
- third parties who collect data about you via the website;
- authorized representative;
- prospective buyers or investors in the event of restructuring or other corporate actions;
- auditors;
- parties to potential or actual legal proceedings or disputes;
- domestic and foreign authorities, official bodies or courts.

5.2 Disclosure of personal data abroad

In principle, we process your personal data in Switzerland. However, in exceptional cases (e.g. when using certain service providers or certain software applications), your personal data may also be transferred abroad, primarily to the member states of the European Economic Area (including the European Union), but in some cases also to other countries worldwide (e.g. the USA).

If we transfer data to a country without adequate legal data protection, we ensure an adequate level of protection as provided for by law by using appropriate contracts (namely on the basis of the so-called standard contractual clauses of the European Commission) or rely on the legal exceptions of consent, contract execution, the establishment, exercise or enforcement of legal claims, overriding public interests, published personal data or because it is necessary to protect the integrity of the data subjects. Nevertheless, we would like to point out that data transmitted abroad is no longer protected by Swiss law and that foreign laws as well as official orders may require the disclosure of this data to authorities and other third parties.

6. Duration of storage

We process and store your personal data only for as long as it is necessary for the fulfilment of our contractual and legal obligations or otherwise for the purposes pursued with the processing or as long as there is another legal basis (e.g. legal retention periods). We retain personal data that we hold on the basis of a contractual relationship with you for at least as long as the contractual relationship exists and limitation periods for potential claims by us run or contractual retention obligations exist. As soon as your personal data are no longer required for the above-mentioned purposes, they will, in principle, be set passively, deleted or anonymised as far as possible.

7. Your rights

Within the scope of the data protection law applicable to you and to the extent provided for therein you have the right to information, correction, deletion, the right to restrict data processing and otherwise to object to our data processing as well as to the disclosure of certain personal data for transfer to another body (so-called data portability). Please note, however, that we reserve the right to assert the restrictions provided for by law, e.g. if we are obliged to store or process certain data, have an overriding interest in doing so (to the extent as we are entitled to invoke this) or require it for the assertion of claims. If this results in costs for you, we will inform you in advance.

If data processing is based on your consent, after giving your consent you can withdraw your consent at any time with effect for the future. However, this does not affect the lawfulness of the processing carried out on the basis of your consent prior to your withdrawal.

The exercise of such rights generally requires that you clearly prove your identity (e.g. by providing a copy of an identification document, if your identity is otherwise unclear or cannot be verified). In order to assert your rights, you may contact us at the address specified in Section 2 of this privacy policy (by post or e-mail).

In addition, every data subject has the right to enforce their claims in court or to lodge a complaint with the competent data protection authority. The competent data protection authority in Switzerland is the Federal Data Protection and Information Commissioner (<http://www.edoeb.admin.ch>).

8. Data security

We take appropriate security measures of a technical and organizational nature to maintain the security of your personal data and to protect it against unauthorized or unlawful processing, and to mitigate the risk of loss, accidental alteration, unauthorized disclosure or access. However, like all companies/foundations we cannot rule out data security breaches with absolute certainty, as certain residual risks are unavoidable. As part of our security measures, we especially use firewalls, logging and encryption, have authorization concepts and have implemented other protective measures to ensure that personal data is protected as completely as possible.

9. Amendments to this privacy policy

We expressly reserve the right to amend this privacy policy at any time. If such amendments are made, we will immediately publish the amended privacy policy on our website. The privacy policy published on our website applies in each case.

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